

## Attention for Multi-Ontology Concept Recognition - Presentation

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# Attention for Multi-Ontology Concept Recognition

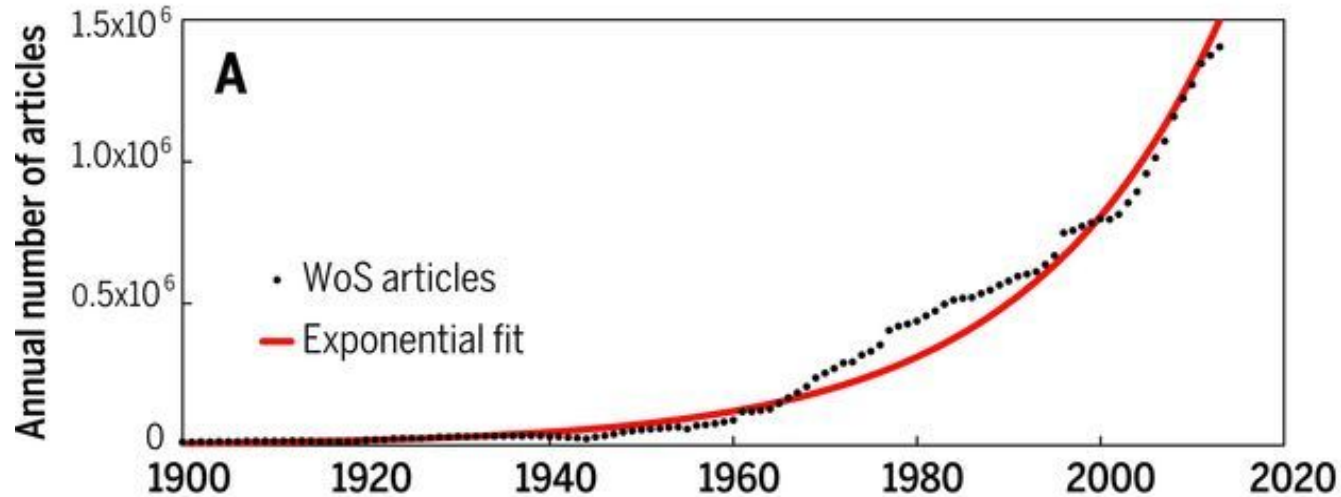
Lorcán Pigott-Dix

SWAT4HCLS 2023 - Basel



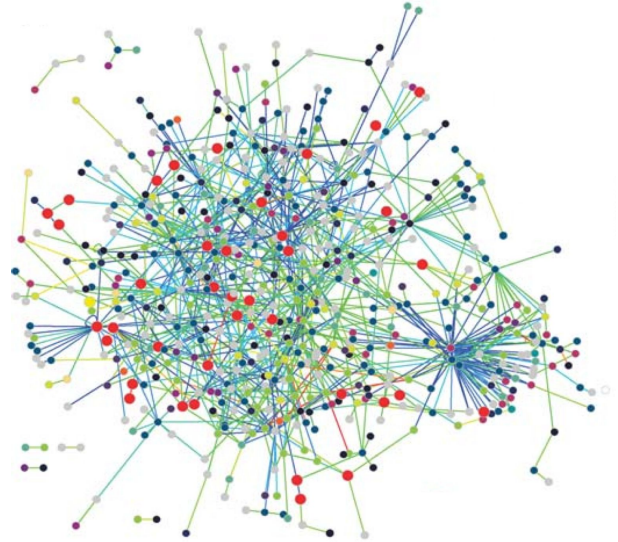
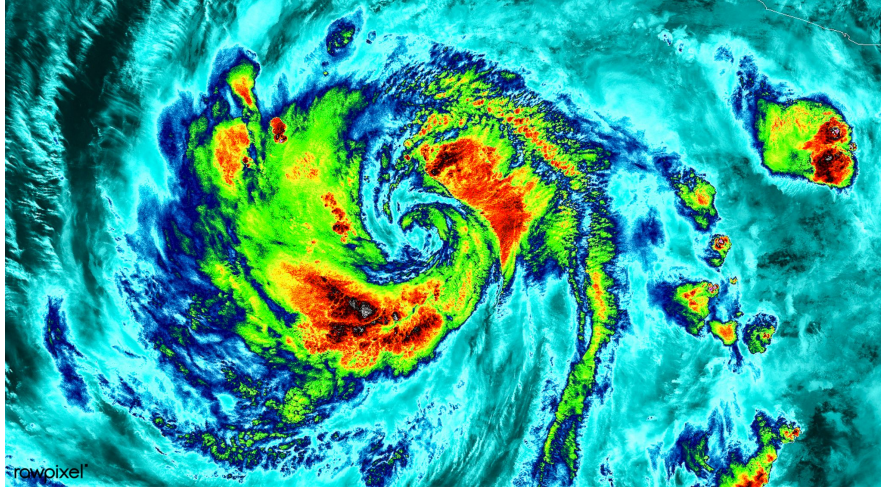
Decoding Living Systems

# The scale of scientific output is growing exponentially...



Annual production of scientific articles indexed in the Web of Science database (from Fortunato *et al.* 2018).

... and it is increasingly complex



False-colour image of Hurricane Blanca taken using a Visible Infrared Imaging Radiometer Suite (from NASA/NOAA/UW-CIMSS/rawpixel 2015), and the protein interaction network of *T. pallidum* (from Häuser *et al.* 2008).

# Ontologies describe domains of knowledge for machine agents



OBO Foundry



human  
phenotype  
ontology



**GENEONTOLOGY**  
Unifying Biology

Current annotation is largely manual

ELIXIR-UK Launches new FAIR Data Stewardship Training Fellowship – apply now!



We need to stop doing science

We need tools to scale data annotation

# Aims of this work

- Develop a pipeline for training a multi-domain ontology annotation tool with minimal supervision.
- Improve performance of existing methodologies by utilising attention.

# Leveraging the expertise codified in an ontology

[Term]

id: HP:0009226

An extract from the Open Biomedical Ontologies (OBO) format Human Phenotype Ontology (HPO).

# Leveraging the expertise codified in an ontology

[Term]

id: HP:0009226

name: Short proximal phalanx of the 5th finger

An extract from the Open Biomedical Ontologies (OBO) format Human Phenotype Ontology (HPO).

# Leveraging the expertise codified in an ontology

```
[Term]
id: HP:0009226
name: Short proximal phalanx of the 5th finger
def: "Hypoplastic/small proximal phalanx of the fifth finger." [HPO:skoehler]
synonym: "Hypoplastic/small proximal phalanx of the 5th finger" EXACT []
synonym: "Short innermost little finger bone" EXACT [ORCID:0000-0001-5208-3432]
synonym: "Short innermost pinkie finger bone" EXACT layperson [ORCID:0000-0001-5208-3432]
synonym: "Short innermost pinky finger bone" EXACT layperson [ORCID:0000-0001-5208-3432]
synonym: "Short proximal phalanx of the fifth finger" EXACT layperson []
```

An extract from the Open Biomedical Ontologies (OBO) format Human Phenotype Ontology (HPO).

# Leveraging the expertise codified in an ontology

```
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synonym: "Short innermost pinky finger bone" EXACT layperson [ORCID:0000-0001-5208-3432]
synonym: "Short proximal phalanx of the fifth finger" EXACT layperson []
xref: UMLS:C4021509
is_a: HP:0009192 ! Aplasia/Hypoplasia of the proximal phalanx of the 5th finger
is_a: HP:0009237 ! Short 5th finger
is_a: HP:0010241 ! Short proximal phalanx of finger
```

An extract from the Open Biomedical Ontologies (OBO) format Human Phenotype Ontology (HPO).

# Leveraging the expertise codified in an ontology

```
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xref: UMLS:C4021509
is_a: HP:0009192 ! Aplasia/Hypoplasia of the proximal phalanx of the 5th finger
is_a: HP:0009237 ! Short 5th finger
is_a: HP:0010241 ! Short proximal phalanx of finger
created_by: doelkens
creation_date: 2009-01-05T06:01:34Z
```

Figure 3: An extract from the Open Biomedical Ontologies (OBO) format Human Phenotype Ontology (HPO).

# Neural Concept Recogniser (NCR)

🏠 JMIR Medical Informatics



Journal Information ▼

Browse Journal ▼

**Published on 10.5.2019 in Vol 7 , No 2 (2019) :Apr-Jun**

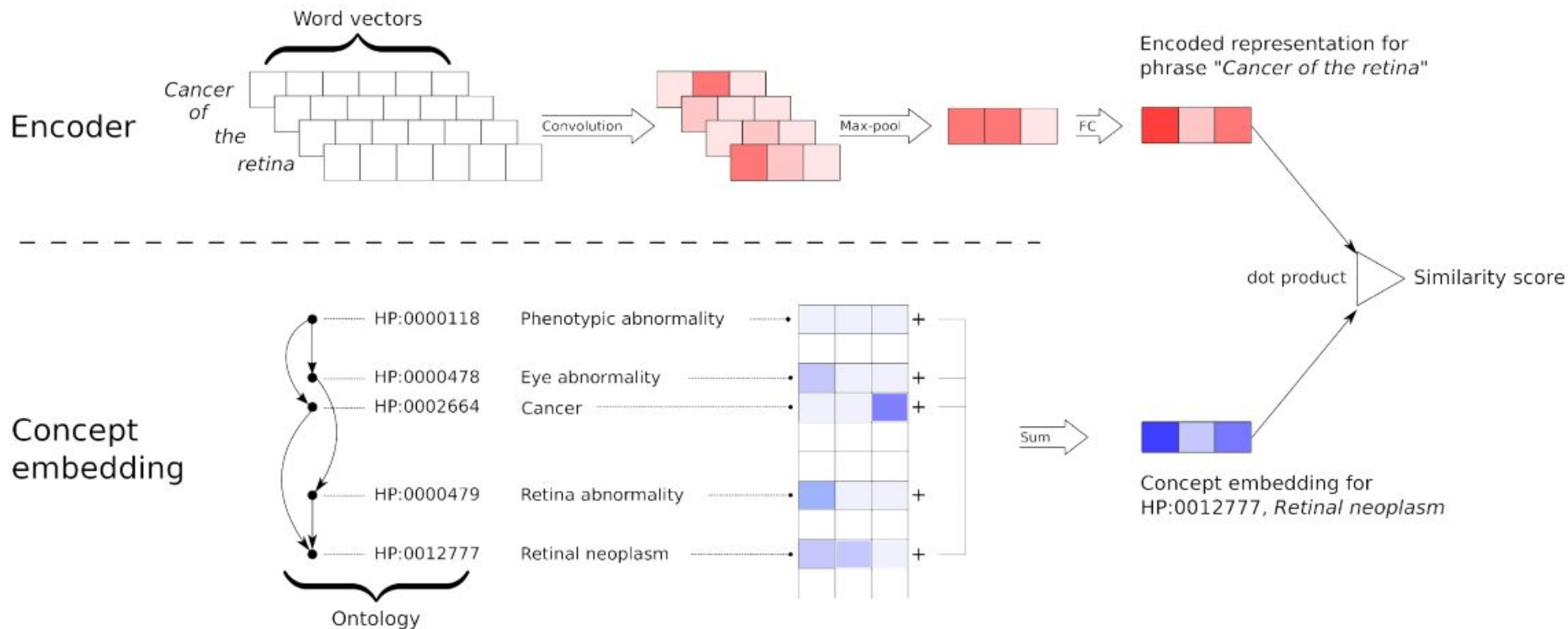
📌 Preprints (earlier versions) of this paper are available at <https://preprints.jmir.org/preprint/12596>, first published October 24, 2018.



## Identifying Clinical Terms in Medical Text Using Ontology-Guided Machine Learning

Aryan Arbabi <sup>1,2</sup> ; David R Adams <sup>3</sup> ; Sanja Fidler <sup>1</sup> ; Michael Brudno <sup>1,2</sup>

# Neural Concept Recogniser (NCR)



Overview of the NCR model (from Arbabi *et al.* 2019)

JOURNAL ARTICLE

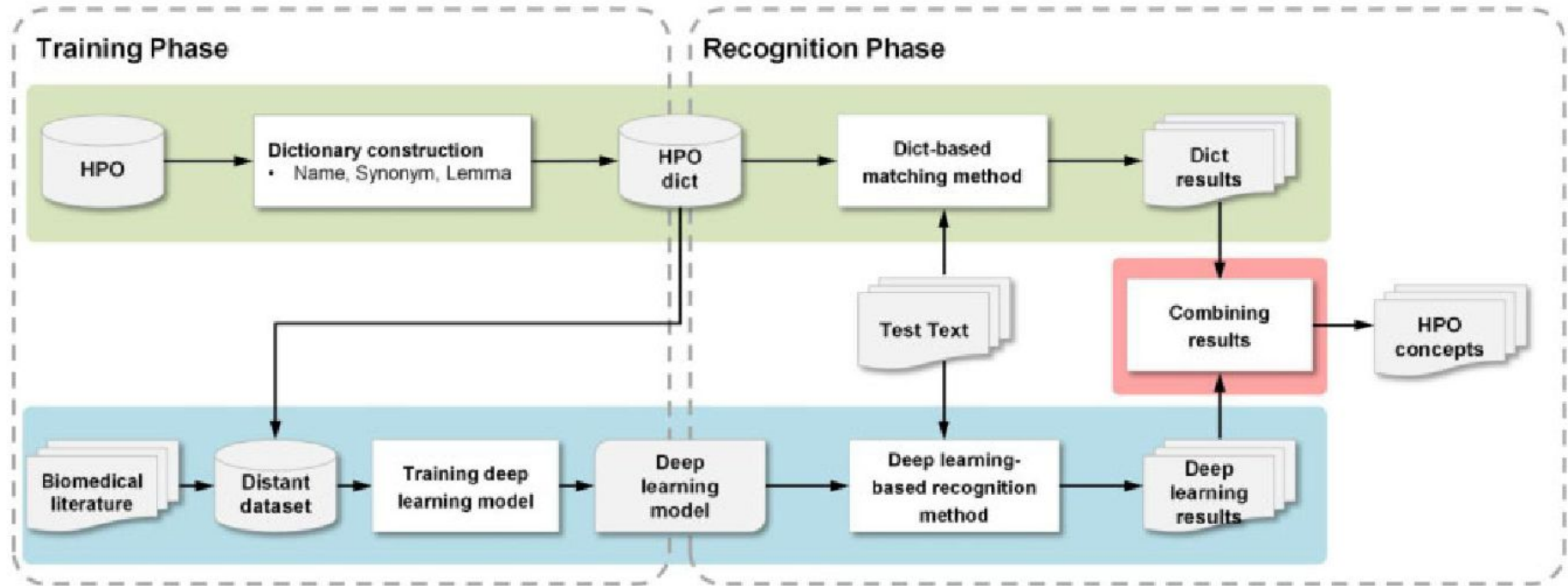
## PhenoTagger: a hybrid method for phenotype concept recognition using human phenotype ontology

Ling Luo, Shankai Yan, Po-Ting Lai, Daniel Veltri, Andrew Oler, Sandhya Xirasagar, Rajarshi Ghosh, Morgan Similuk, Peter N Robinson, Zhiyong Lu 

*Bioinformatics*, Volume 37, Issue 13, 1 July 2021, Pages 1884–1890, <https://doi.org/10.1093/bioinformatics/btab019>

**Published:** 20 January 2021    **Article history** ▼

# PhenoTagger



Overview of the PhenoTagger model (from Luo *et al.* 2021)

# Limitations

- Both of these methods are for a single ontology.
- PhenoTagger needs a corpus of relevant text.

# Benchmarks

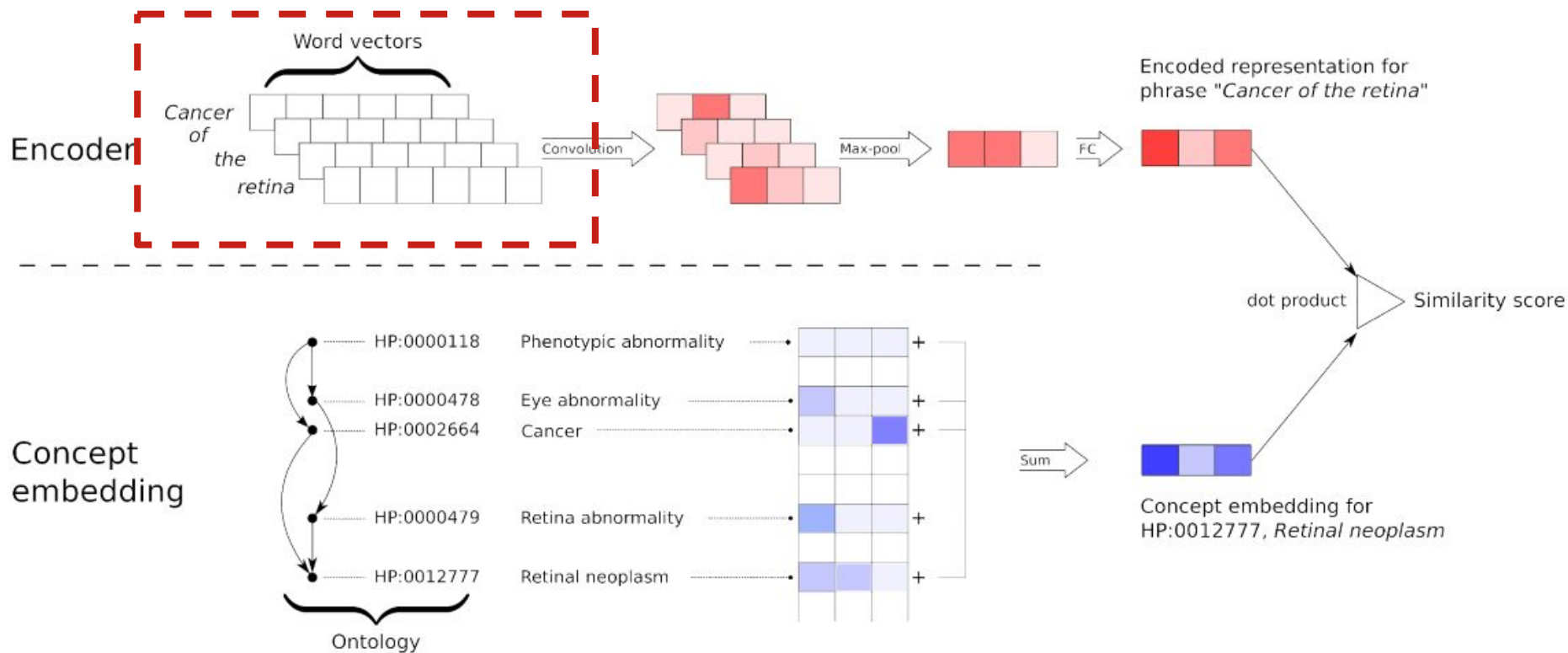
Table 1: The best scores for each model against a Gold-Standard dataset of 228 PubMed abstracts, expertly annotated with HPO terms, as reported in their respective publications, for non-overlapping concepts.

| Method                                                      | Micro / %   |             |             | Macro / %   |             |             |
|-------------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
|                                                             | Precision   | Recall      | F-score     | Precision   | Recall      | F-score     |
| NCR (Arbabi <i>et al.</i> 2019)                             | <b>80.3</b> | 62.4        | 70.2        | <b>80.5</b> | 68.2        | 73.9        |
| PhenoTagger (Luo <i>et al.</i> 2021) (With concept overlap) | 78.9        | <b>72.2</b> | <b>75.4</b> | 77.4        | <b>74.0</b> | <b>75.7</b> |

# Adapting the NCR model

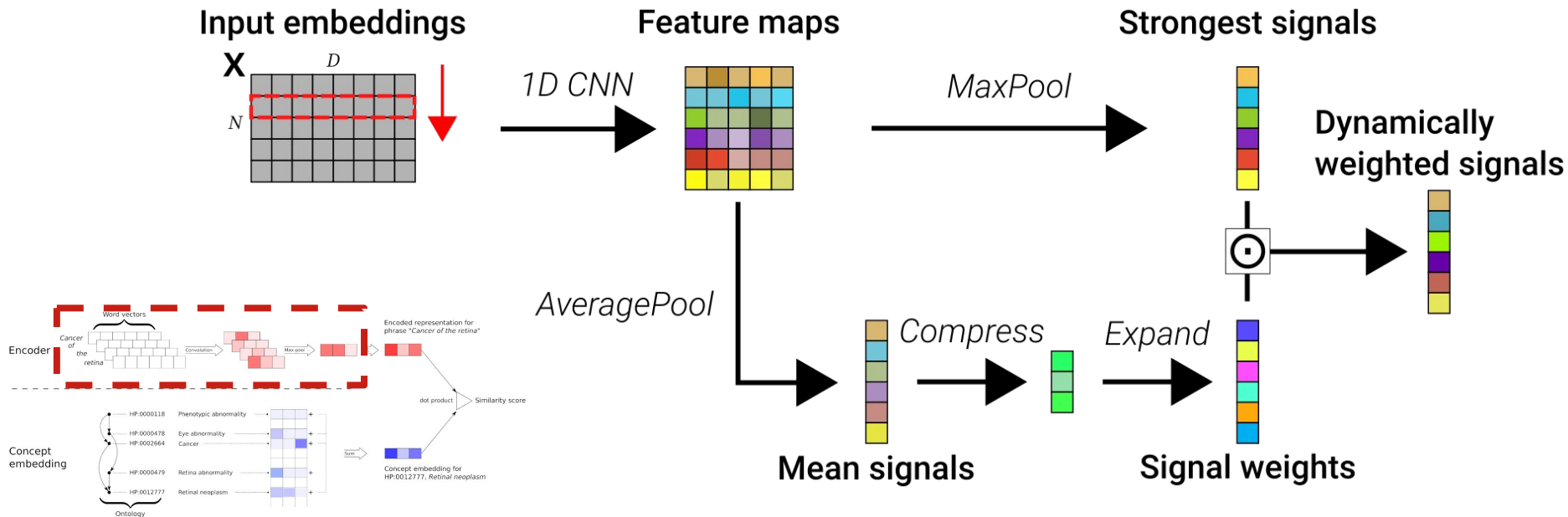
- Integrating ontologies from multiple domains
- Testing alternate architectures

# NCR + ELMo Embeddings



Overview of the NCR model (from Arbabi *et al.* 2019)

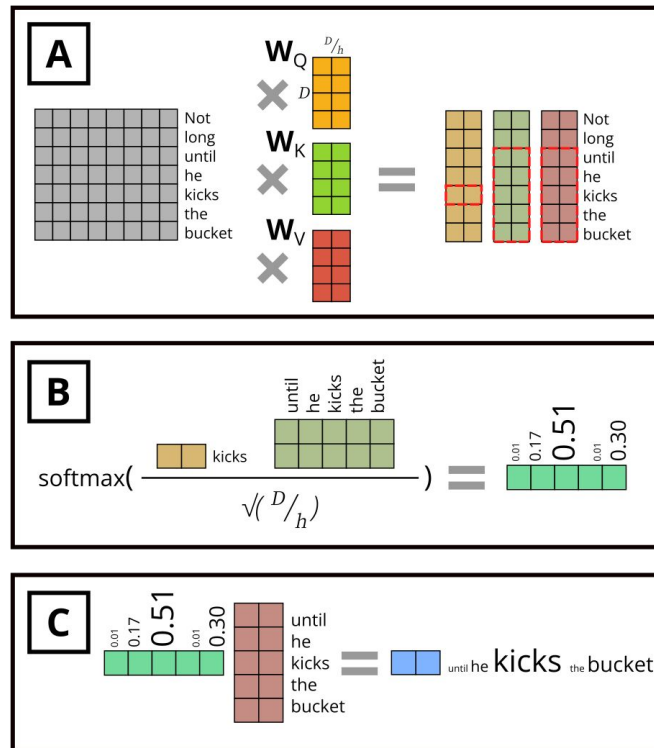
# Squeeze-and-Excite (SAE) - using attention to improve CNN



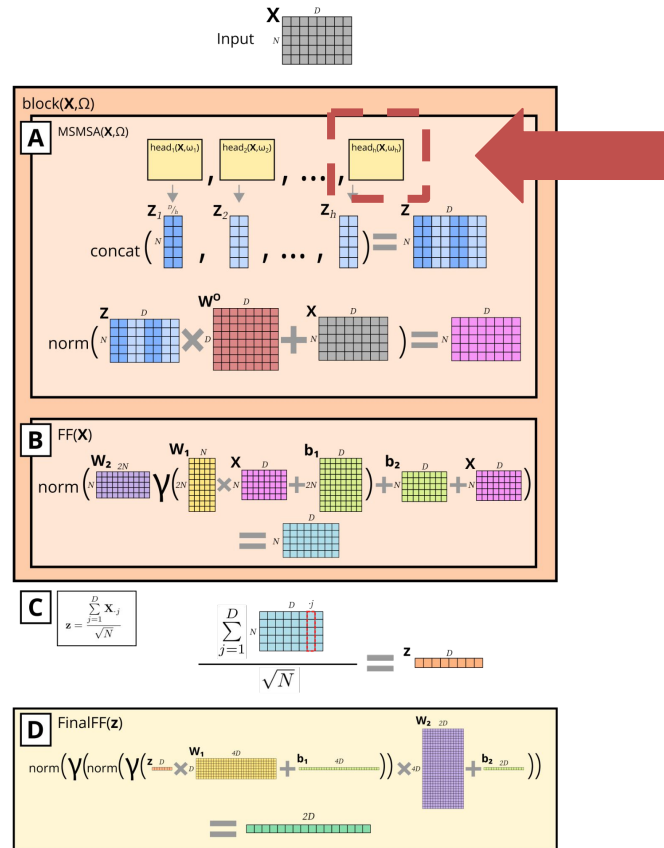
Schematic of the Squeeze-and-Excite mechanism used to augment the CNN.

# The Multi-Scale Self-Attention (MSSA) model limits attention

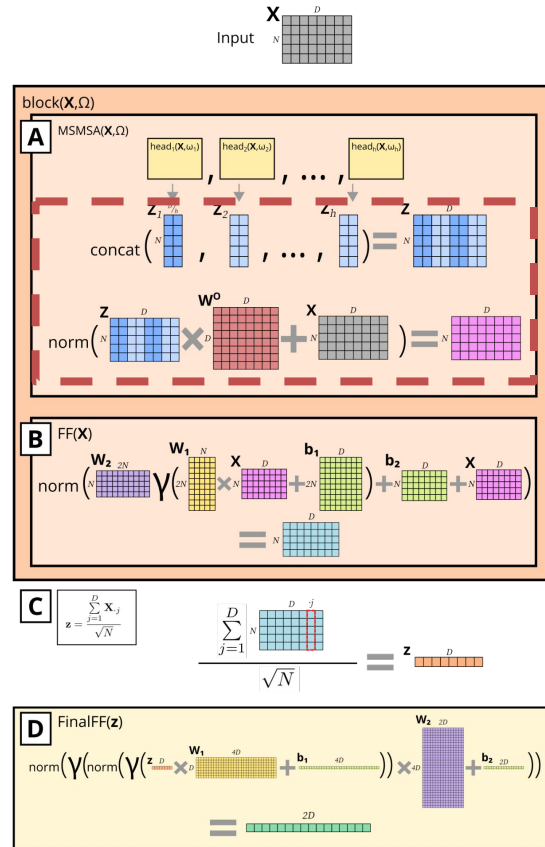
- Transformer-style encoder
- Adapted to work on low data volumes by scaling attention to local neighbourhood
- More relevance + sharper features** → greater inductive bias



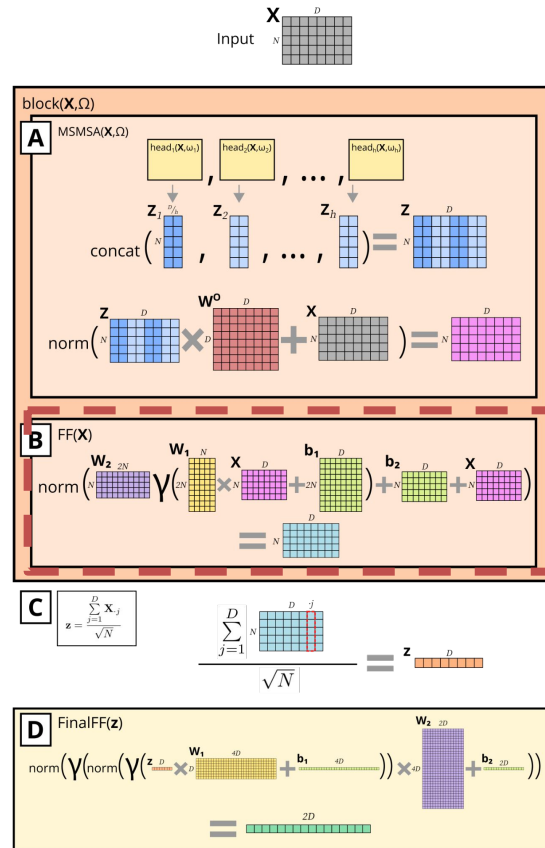
# The output of the attention blocks is averaged into a single vector



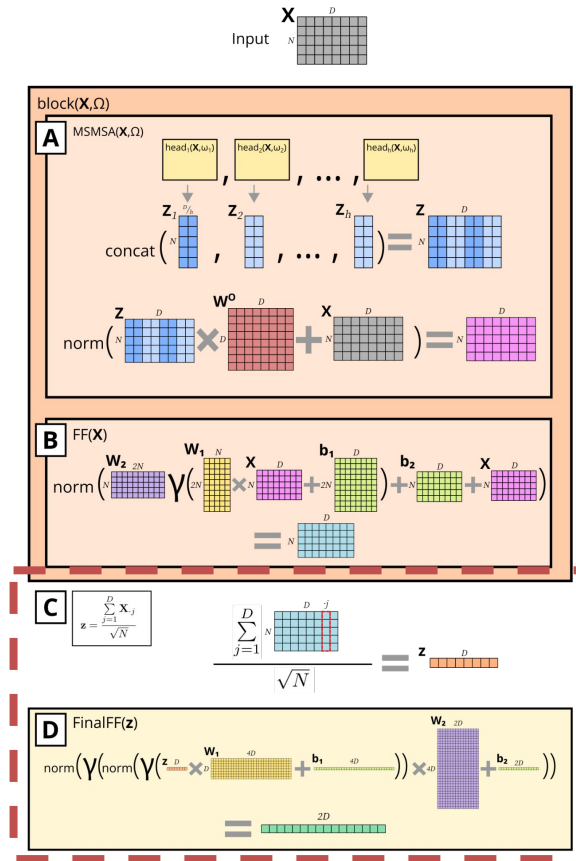
# The output of the attention blocks is averaged into a single vector



# The output of the attention blocks is averaged into a single vector

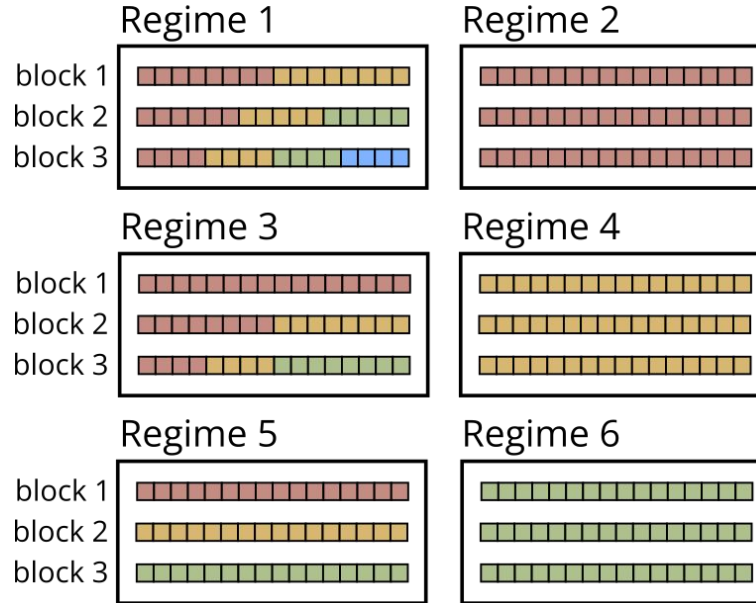


# The output of the attention blocks is averaged into a single vector



# Various scaling regimes were explored

■  $\omega = 1$  ■  $\omega = 2$  ■  $\omega = 3$  ■  $\omega = 4$



# Encompassing multiple domains

The different ontology combinations used to train each model.

| Ontologies                                                                          | Unique concepts | Training examples |
|-------------------------------------------------------------------------------------|-----------------|-------------------|
| Human Phenotype Ontology                                                            | 16 059          | 35 969            |
| Human Phenotype Ontology and Mammal Phenotype Ontology                              | 29 370          | 75 298            |
| Human Phenotype Ontology, Cell Ontology, and Ontology of Host-Pathogen Interactions | 29 662          | 59 175            |

# Assessing performance

- 228 PubMed Abstracts
- Expertly annotated with Human Phenotype Ontology terms

# MSSA is competitive in micro metrics, and beats SOTA in macro

The PubMed benchmarking results of the best performing scaled-attention model for each ontology combination.

| Ontology                             | Scale regime | Blocks | Threshold | Micro Precision | Recall | F-score | Macro Precision | Recall | F-score |
|--------------------------------------|--------------|--------|-----------|-----------------|--------|---------|-----------------|--------|---------|
| HPO                                  | 4            | 2      | 0.45      | 75.04           | 71.63  | 73.30   | 78.16           | 74.08  | 76.06   |
| + MPO                                | 3            | 2      | 0.4       | 75.00           | 65.00  | 69.64   | 76.89           | 68.05  | 72.20   |
| + CLO + OHPI                         | 2            | 1      | 0.5       | 80.37           | 68.58  | 74.01   | 83.21           | 70.72  | 76.46   |
| NCR (Arbabi <i>et al.</i> 2019)      |              |        |           | 80.3            | 62.4   | 70.2    | 80.5            | 68.2   | 73.9    |
| PhenoTagger (Luo <i>et al.</i> 2021) |              |        |           | 78.9            | 72.2   | 75.4    | 77.4            | 74.0   | 75.7    |

# MSSA beats SOTA in macro f-score ...

The PubMed benchmarking results of the best performing scaled-attention model for each ontology combination.

| Ontology                             | Scale regime | Blocks | Threshold | Micro Precision | Recall | F-score | Macro Precision | Recall | F-score |
|--------------------------------------|--------------|--------|-----------|-----------------|--------|---------|-----------------|--------|---------|
| HPO                                  | 4            | 2      | 0.45      | 75.04           | 71.63  | 73.30   | 78.16           | 74.08  | 76.06   |
| + MPO                                | 3            | 2      | 0.4       | 75.00           | 65.00  | 69.64   | 76.89           | 68.05  | 72.20   |
| + CLO + OHPI                         | 2            | 1      | 0.5       | 80.37           | 68.58  | 74.01   | 83.21           | 70.72  | 76.46   |
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| PhenoTagger (Luo <i>et al.</i> 2021) |              |        |           | 78.9            | 72.2   | 75.4    | 77.4            | 74.0   | 75.7    |

## ... and is competitive in micro metrics

The PubMed benchmarking results of the best performing scaled-attention model for each ontology combination.

| Ontology                             | Scale regime | Blocks | Threshold | Micro Precision | Recall | F-score | Macro Precision | Recall | F-score |
|--------------------------------------|--------------|--------|-----------|-----------------|--------|---------|-----------------|--------|---------|
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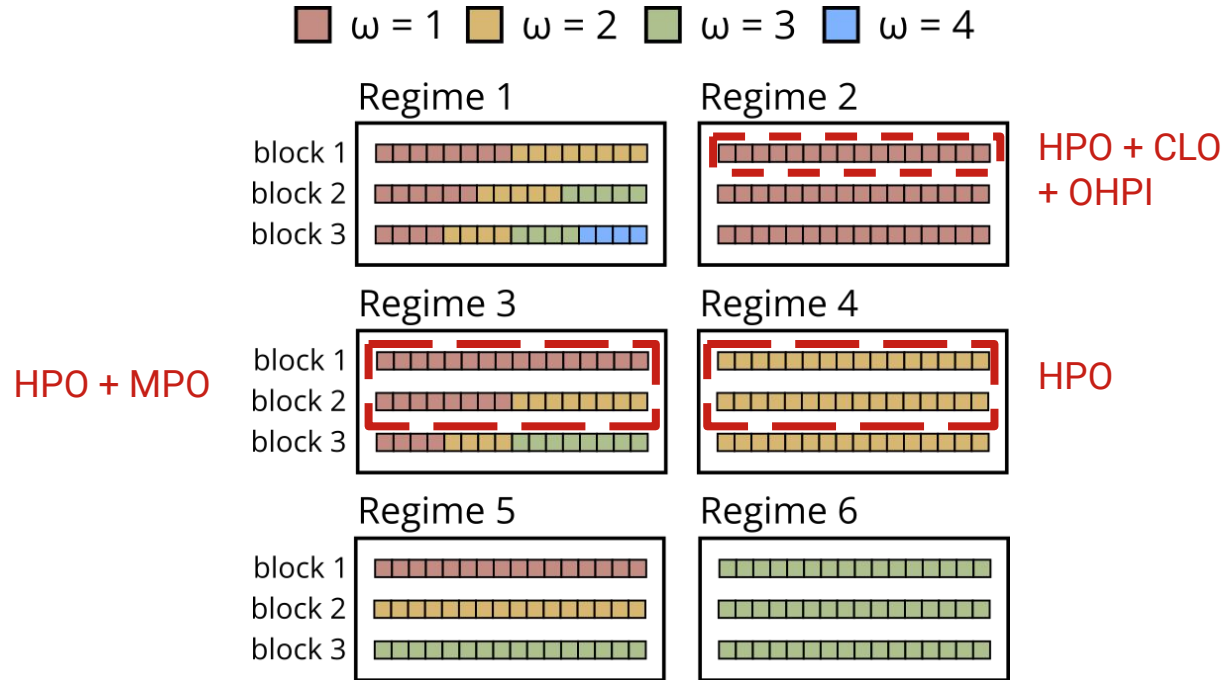
# Those trained with HPO and MPO do not perform as well

The PubMed benchmarking results of the best performing scaled-attention model for each ontology combination.

| Ontology     | Scale regime | Blocks | Threshold | Micro Precision | Recall | F-score | Macro Precision | Recall | F-score |
|--------------|--------------|--------|-----------|-----------------|--------|---------|-----------------|--------|---------|
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| + CLO + OHPI | 2            | 1      | 0.5       | 80.37           | 68.58  | 74.01   | 83.21           | 70.72  | 76.46   |

|                                      |      |      |      |      |      |      |
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| PhenoTagger (Luo <i>et al.</i> 2021) | 78.9 | 72.2 | 75.4 | 77.4 | 74.0 | 75.7 |

# The narrower the attention the better



# Sorry for the horrible table

The results of the CNN-based models.

| Ontology                             | Model | Filters | Threshold | Micro        |              |              | Macro        |              |              |
|--------------------------------------|-------|---------|-----------|--------------|--------------|--------------|--------------|--------------|--------------|
|                                      |       |         |           | Precision    | Recall       | F-score      | Precision    | Recall       | F-score      |
| HPO                                  | NCR   | 1024    | 0.5       | 78.72        | <b>72.75</b> | 75.62        | 82.16        | 74.78        | 78.29        |
|                                      | SAE   | 1024    | 0.55      | 77.63        | 72.60        | 75.03        | 80.46        | <b>75.04</b> | 77.65        |
|                                      |       | 1536    | 0.55      | 80.81        | 70.89        | 75.53        | 83.07        | 73.49        | 77.99        |
|                                      |       | 2048    | 0.7       | 80.25        | 70.81        | 75.24        | 82.81        | 73.65        | 77.96        |
| + MPO                                | NCR   | 1024    | 0.5       | 74.12        | 66.12        | 69.89        | 77.38        | 70.75        | 73.91        |
|                                      | SAE   | 1024    | 0.85      | 82.28        | 52.20        | 63.87        | 84.28        | 57.09        | 68.07        |
|                                      |       | 1536    | 0.5       | 76.17        | 65.23        | 70.28        | 78.68        | 68.90        | 73.46        |
|                                      |       | 2048    | 0.5       | 74.62        | 61.73        | 67.56        | 76.78        | 66.19        | 71.10        |
| + CLO + OHPI                         | NCR   | 1024    | 0.75      | <b>84.04</b> | 64.71        | 73.12        | 86.01        | 66.61        | 75.08        |
|                                      | SAE   | 1024    | 0.6       | 83.05        | 70.07        | <b>76.01</b> | 85.13        | 72.82        | <b>78.50</b> |
|                                      |       | 1536    | 0.5       | 80.55        | 69.69        | 74.73        | 82.18        | 72.07        | 76.79        |
|                                      |       | 2048    | 0.65      | 82.94        | 65.15        | 72.98        | <b>86.12</b> | 66.96        | 75.34        |
| NCR (Arbabi <i>et al.</i> 2019)      |       |         |           | 80.3         | 62.4         | 70.2         | 80.5         | 68.2         | 73.9         |
| PhenoTagger (Luo <i>et al.</i> 2021) |       |         |           | 78.9         | 72.2         | 75.4         | 77.4         | 74.0         | 75.7         |

# SAE + diverse domain ontologies = Best Performance

The results of the CNN-based models.

| Ontology     | Model | Filters | Threshold | Micro Precision | Recall       | F-score      | Macro Precision | Recall       | F-score      |
|--------------|-------|---------|-----------|-----------------|--------------|--------------|-----------------|--------------|--------------|
| HPO          | NCR   | 1024    | 0.5       | 78.72           | <b>72.75</b> | 75.62        | 82.16           | 74.78        | 78.29        |
|              | SAE   | 1024    | 0.55      | 77.63           | 72.60        | 75.03        | 80.46           | <b>75.04</b> | 77.65        |
|              |       | 1536    | 0.55      | 80.81           | 70.89        | 75.53        | 83.07           | 73.49        | 77.99        |
|              |       | 2048    | 0.7       | 80.25           | 70.81        | 75.24        | 82.81           | 73.65        | 77.96        |
| + MPO        | NCR   | 1024    | 0.5       | 74.12           | 66.12        | 69.89        | 77.38           | 70.75        | 73.91        |
|              | SAE   | 1024    | 0.85      | 82.28           | 52.20        | 63.87        | 84.28           | 57.09        | 68.07        |
|              |       | 1536    | 0.5       | 76.17           | 65.23        | 70.28        | 78.68           | 68.90        | 73.46        |
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|              |       | 1536    | 0.5       | 80.55           | 69.69        | 74.73        | 82.18           | 72.07        | 76.79        |
|              |       | 2048    | 0.65      | 82.94           | 65.15        | 72.98        | <b>86.12</b>    | 66.96        | 75.34        |

|                               |      |      |      |      |      |      |
|-------------------------------|------|------|------|------|------|------|
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| PhenoTagger (Luo et al. 2021) | 78.9 | 72.2 | 75.4 | 77.4 | 74.0 | 75.7 |

# Better embeddings alone improve the NCR ...

The results of the CNN-based models.

| Ontology     | Model | Filters | Threshold | Micro Precision | Recall       | F-score      | Macro Precision | Recall       | F-score      |
|--------------|-------|---------|-----------|-----------------|--------------|--------------|-----------------|--------------|--------------|
| HPO          | NCR   | 1024    | 0.5       | 78.72           | <b>72.75</b> | 75.62        | 82.16           | 74.78        | 78.29        |
|              | SAE   | 1024    | 0.55      | 77.63           | 72.60        | 75.03        | 80.46           | <b>75.04</b> | 77.65        |
|              |       | 1536    | 0.55      | 80.81           | 70.89        | 75.53        | 83.07           | 73.49        | 77.99        |
|              |       | 2048    | 0.7       | 80.25           | 70.81        | 75.24        | 82.81           | 73.65        | 77.96        |
| + MPO        | NCR   | 1024    | 0.5       | 74.12           | 66.12        | 69.89        | 77.38           | 70.75        | 73.91        |
|              | SAE   | 1024    | 0.85      | 82.28           | 52.20        | 63.87        | 84.28           | 57.09        | 68.07        |
|              |       | 1536    | 0.5       | 76.17           | 65.23        | 70.28        | 78.68           | 68.90        | 73.46        |
|              |       | 2048    | 0.5       | 74.62           | 61.73        | 67.56        | 76.78           | 66.19        | 71.10        |
| + CLO + OHPI | NCR   | 1024    | 0.75      | <b>84.04</b>    | 64.71        | 73.12        | 86.01           | 66.61        | 75.08        |
|              | SAE   | 1024    | 0.6       | 83.05           | 70.07        | <b>76.01</b> | 85.13           | 72.82        | <b>78.50</b> |
|              |       | 1536    | 0.5       | 80.55           | 69.69        | 74.73        | 82.18           | 72.07        | 76.79        |
|              |       | 2048    | 0.65      | 82.94           | 65.15        | 72.98        | <b>86.12</b>    | 66.96        | 75.34        |

|                               |      |      |      |      |      |      |
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| PhenoTagger (Luo et al. 2021) | 78.9 | 72.2 | 75.4 | 77.4 | 74.0 | 75.7 |

## ... but NCR performance declines with additional ontologies

The results of the CNN-based models.

| Ontology     | Model | Filters | Threshold | Micro Precision | Recall       | F-score      | Macro Precision | Recall       | F-score      |
|--------------|-------|---------|-----------|-----------------|--------------|--------------|-----------------|--------------|--------------|
| HPO          | NCR   | 1024    | 0.5       | 78.72           | <b>72.75</b> | 75.62        | 82.16           | 74.78        | 78.29        |
|              | SAE   | 1024    | 0.55      | 77.63           | 72.60        | 75.03        | 80.46           | <b>75.04</b> | 77.65        |
|              |       | 1536    | 0.55      | 80.81           | 70.89        | 75.53        | 83.07           | 73.49        | 77.99        |
|              |       | 2048    | 0.7       | 80.25           | 70.81        | 75.24        | 82.81           | 73.65        | 77.96        |
| + MPO        | NCR   | 1024    | 0.5       | 74.12           | 66.12        | 69.89        | 77.38           | 70.75        | 73.91        |
|              | SAE   | 1024    | 0.85      | 82.28           | 52.20        | 63.87        | 84.28           | 57.09        | 68.07        |
|              |       | 1536    | 0.5       | 76.17           | 65.23        | 70.28        | 78.68           | 68.90        | 73.46        |
|              |       | 2048    | 0.5       | 74.62           | 61.73        | 67.56        | 76.78           | 66.19        | 71.10        |
| + CLO + OHPI | NCR   | 1024    | 0.75      | <b>84.04</b>    | 64.71        | 73.12        | 86.01           | 66.61        | 75.08        |
|              | SAE   | 1024    | 0.6       | 83.05           | 70.07        | <b>76.01</b> | 85.13           | 72.82        | <b>78.50</b> |
|              |       | 1536    | 0.5       | 80.55           | 69.69        | 74.73        | 82.18           | 72.07        | 76.79        |
|              |       | 2048    | 0.65      | 82.94           | 65.15        | 72.98        | <b>86.12</b>    | 66.96        | 75.34        |

|                               |      |      |      |      |      |      |
|-------------------------------|------|------|------|------|------|------|
| NCR (Arbabi et al. 2019)      | 80.3 | 62.4 | 70.2 | 80.5 | 68.2 | 73.9 |
| PhenoTagger (Luo et al. 2021) | 78.9 | 72.2 | 75.4 | 77.4 | 74.0 | 75.7 |

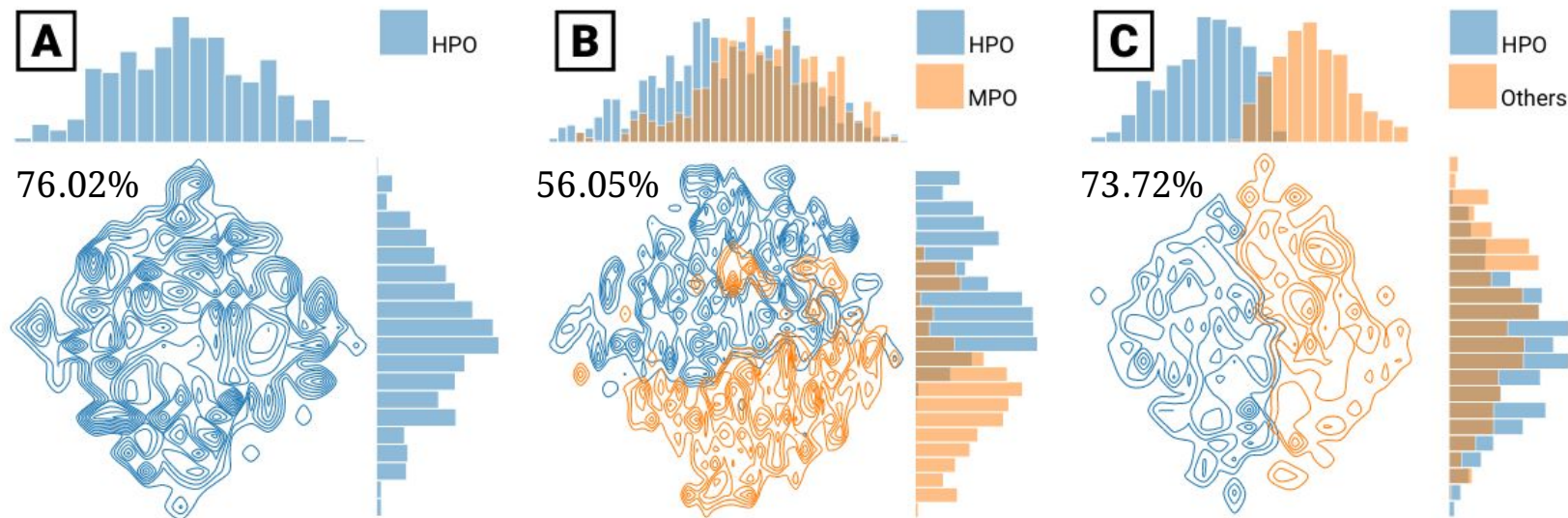
# Again ... combination of similar domain ontologies doesn't perform as well

The results of the CNN-based models.

| Ontology     | Model | Filters | Threshold | Micro Precision | Recall       | F-score      | Macro Precision | Recall       | F-score      |
|--------------|-------|---------|-----------|-----------------|--------------|--------------|-----------------|--------------|--------------|
| HPO          | NCR   | 1024    | 0.5       | 78.72           | <b>72.75</b> | 75.62        | 82.16           | 74.78        | 78.29        |
|              | SAE   | 1024    | 0.55      | 77.63           | 72.60        | 75.03        | 80.46           | <b>75.04</b> | 77.65        |
|              |       | 1536    | 0.55      | 80.81           | 70.89        | 75.53        | 83.07           | 73.49        | 77.99        |
|              |       | 2048    | 0.7       | 80.25           | 70.81        | 75.24        | 82.81           | 73.65        | 77.96        |
| + MPO        | NCR   | 1024    | 0.5       | 74.12           | 66.12        | 69.89        | 77.38           | 70.75        | 73.91        |
|              | SAE   | 1024    | 0.85      | 82.28           | 52.20        | 63.87        | 84.28           | 57.09        | 68.07        |
|              |       | 1536    | 0.5       | 76.17           | 65.23        | 70.28        | 78.68           | 68.90        | 73.46        |
|              |       | 2048    | 0.5       | 74.62           | 61.73        | 67.56        | 76.78           | 66.19        | 71.10        |
| + CLO + OHPI | NCR   | 1024    | 0.75      | <b>84.04</b>    | 64.71        | 73.12        | 86.01           | 66.61        | 75.08        |
|              | SAE   | 1024    | 0.6       | 83.05           | 70.07        | <b>76.01</b> | 85.13           | 72.82        | <b>78.50</b> |
|              |       | 1536    | 0.5       | 80.55           | 69.69        | 74.73        | 82.18           | 72.07        | 76.79        |
|              |       | 2048    | 0.65      | 82.94           | 65.15        | 72.98        | <b>86.12</b>    | 66.96        | 75.34        |

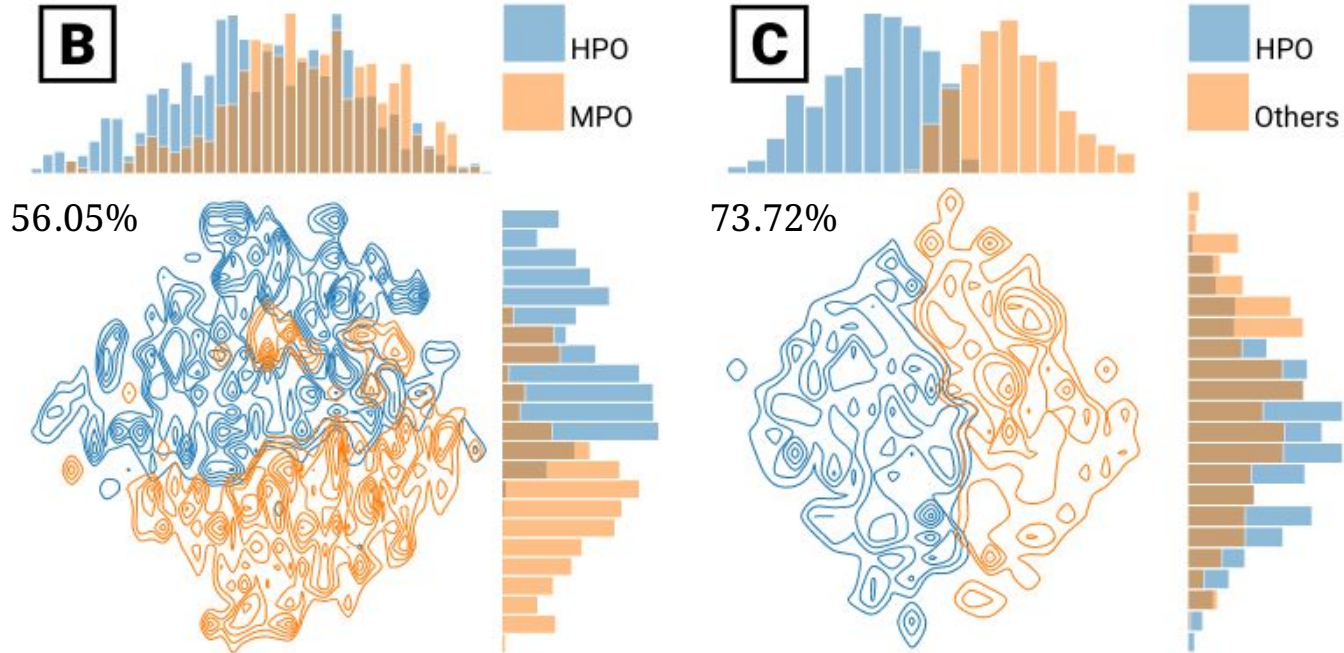
|                               |      |      |      |      |      |      |
|-------------------------------|------|------|------|------|------|------|
| NCR (Arbabi et al. 2019)      | 80.3 | 62.4 | 70.2 | 80.5 | 68.2 | 73.9 |
| PhenoTagger (Luo et al. 2021) | 78.9 | 72.2 | 75.4 | 77.4 | 74.0 | 75.7 |

# Understanding why similar domains reduce performance

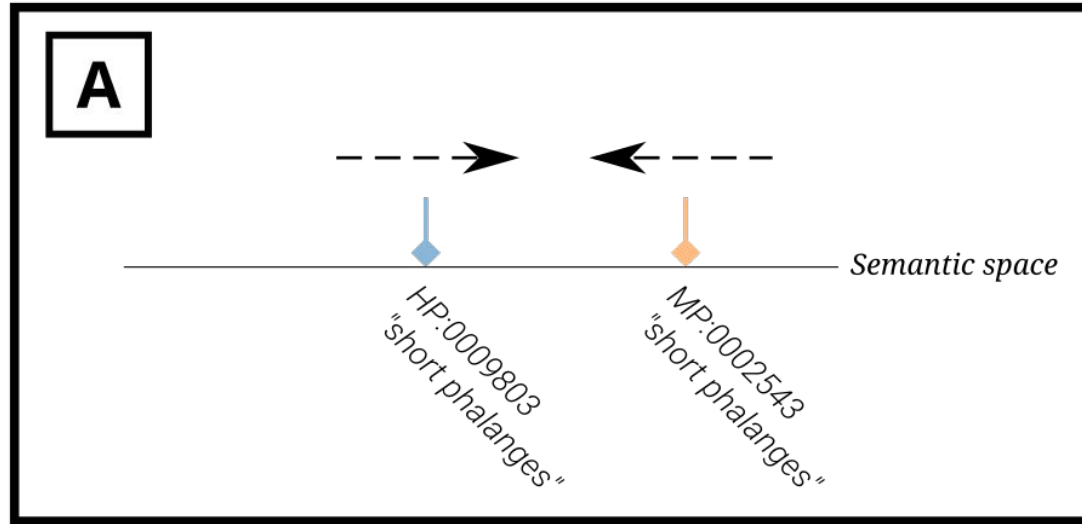


Density-contour t-SNE plots of the concept embeddings from the best-performing SAE models. **[A]** Using the HPO; **[B]** HPO and MPO; and **[C]** HPO, CLO and OHPI.

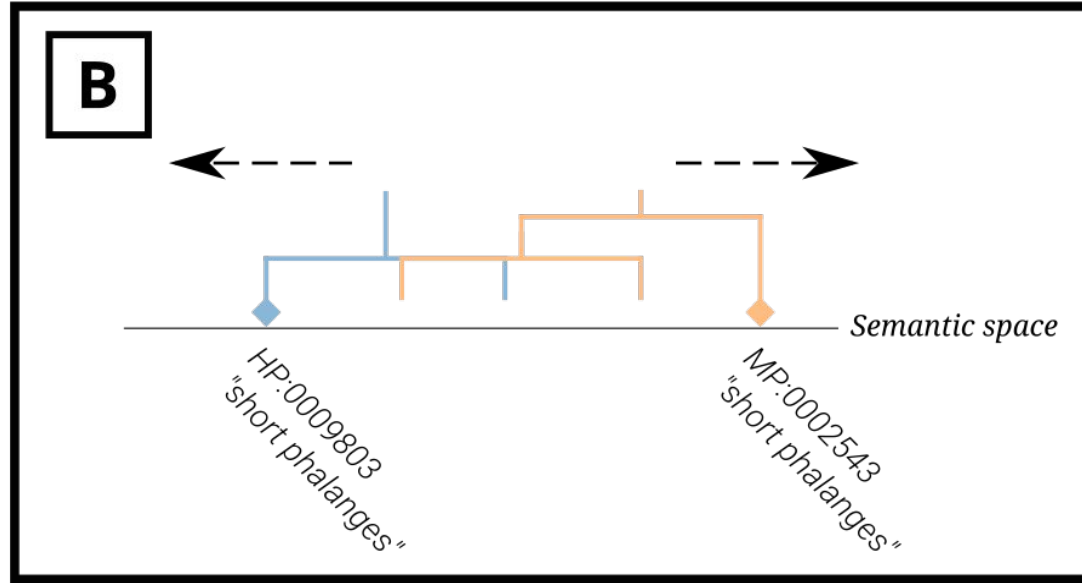
# Human Phenotype and Mammal Phenotype embeddings are congested



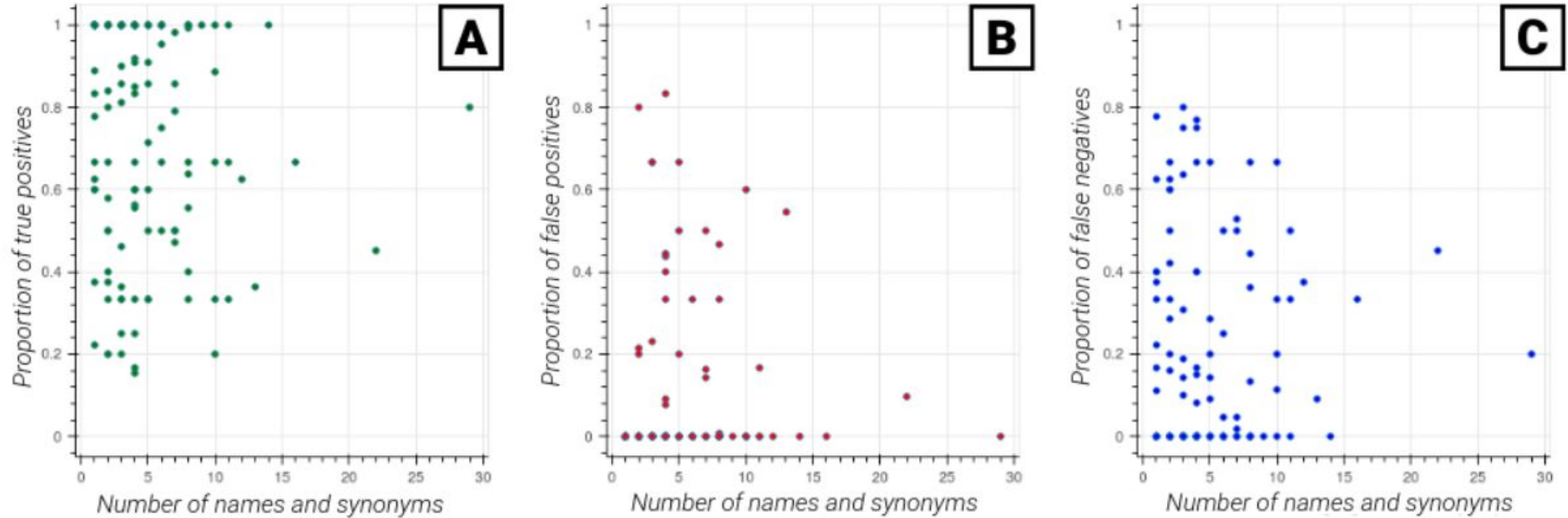
The encoder tries to produce similar encodings for similar concepts from different ontologies...



... the Ancestry matrix keeps otherwise semantically similar concepts apart

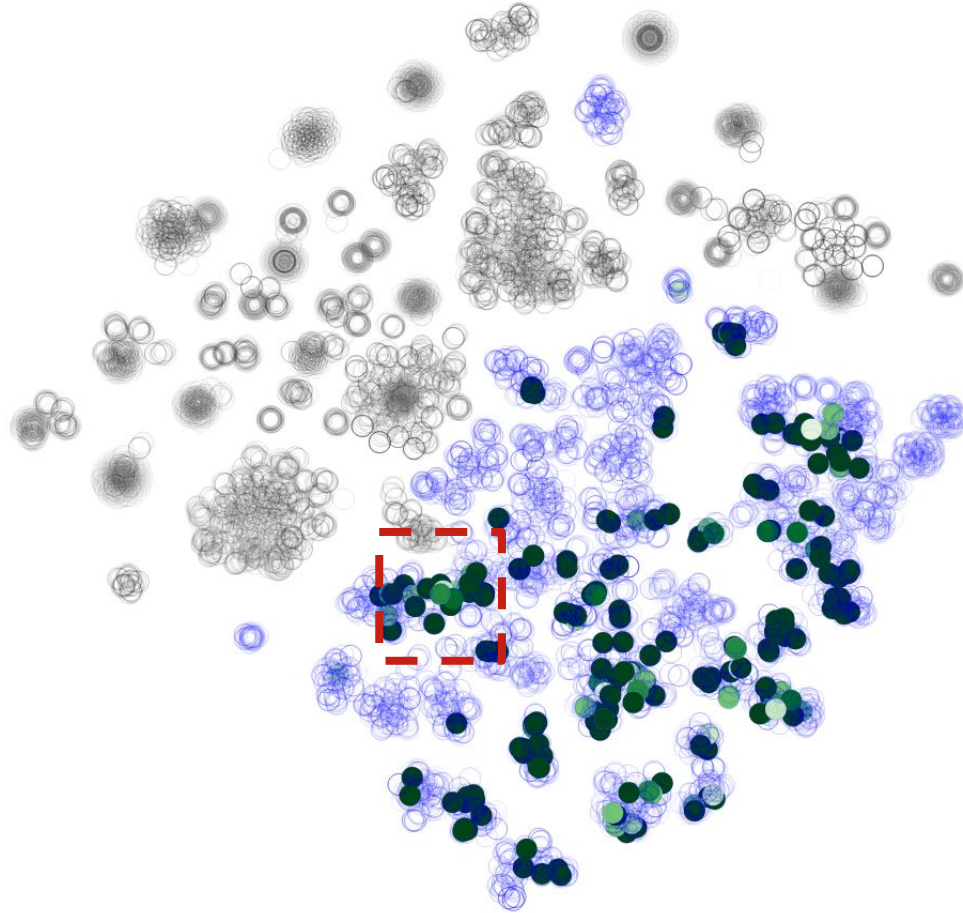


# No relationship between the number of descriptions a concept has and the error rate

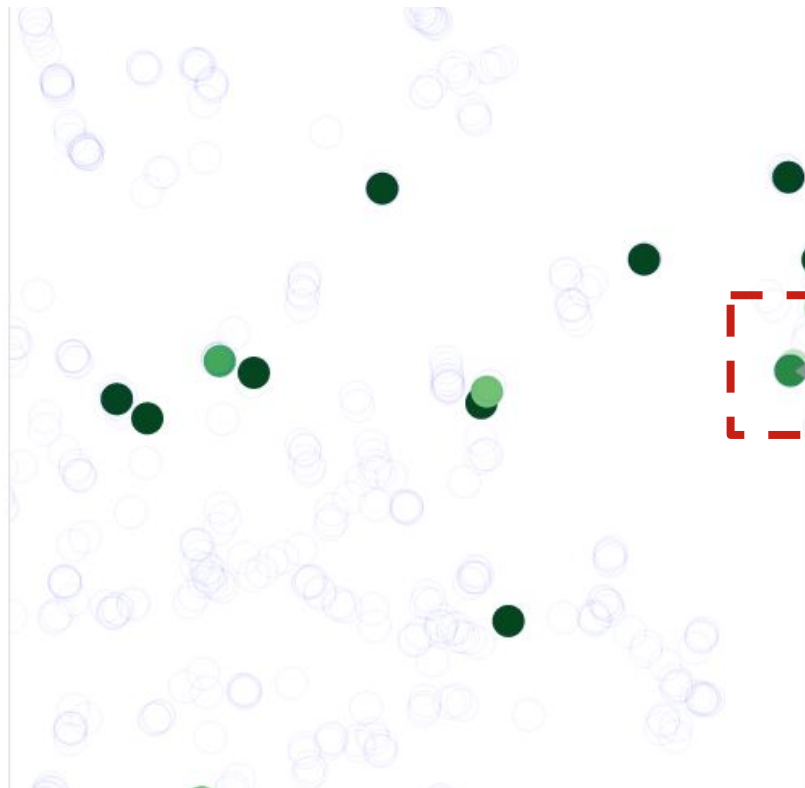


The proportion of **[A]** true positive; **[B]** false positive; and **[C]** false negative identifications made by the best performing model, for each ontology concept that is mentioned more than five times in the Gold-Standard benchmarking corpora.

# Exploring semantic similarity and error rate



# Semantic proximity appears to influence error rate



**HP:0002858**

Text: Noncancerous growth of membranes covering brain,Meningior

True positive proportion: 0.333

False positive proportion: 0

False negative proportion: 0.667

**HP:0033714**

Text: Multifocal meningiomata,Multiple meningiomata

True positive proportion: 0

False positive proportion: 0

False negative proportion: 0

**HP:0100009**

Text: Intracranial meningioma

True positive proportion: 0.778

False positive proportion: 0

False negative proportion: 0.222

**HP:0100010**

Text: Spinal meningioma

True positive proportion: 0

False positive proportion: 0

False negative proportion: 1

**HP:0500089**

Text: Optic nerve sheath meningioma

True positive proportion: 0

False positive proportion: 0

False negative proportion: 0

## In summary

- New SOTA for Neural Dictionary methods
- More ontology data + attention → improved performance
- Domain overlap appears to hinder model performance
- Concept overlap heuristics are important
- Attention-based encoders can be adapted to low data volumes
- Available at: <https://github.com/lorcanpd/adorNER>

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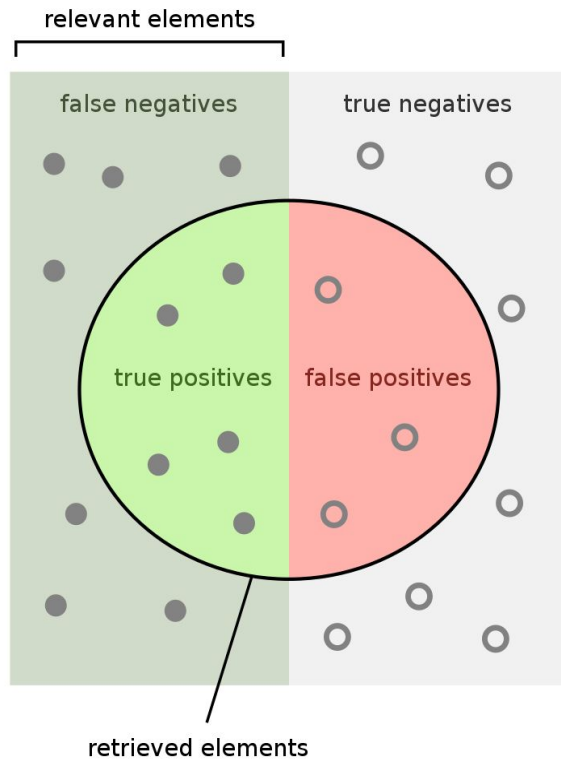


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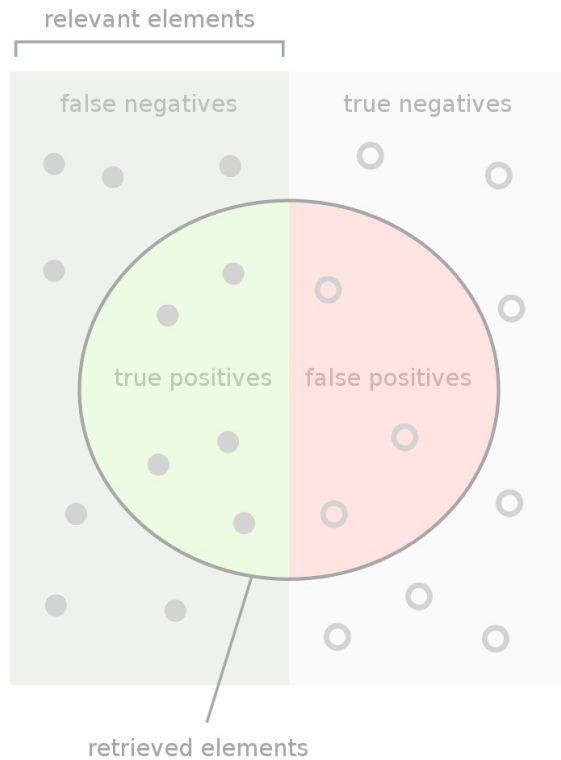
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How many retrieved items are relevant?

$$\text{Precision} = \frac{\text{true positives}}{\text{true positives} + \text{false positives}} = \%$$

How many relevant items are retrieved?

$$\text{Recall} = \frac{\text{true positives}}{\text{true positives} + \text{false negatives}} = \%$$

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